



PATENT

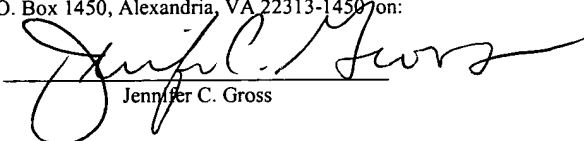
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:	SORKIN et al.	Examiner:	Ronald Baum
Application No.:	09/841,689	Art Unit:	2136
Filed:	April 23, 2001	Docket No.:	RECOP008
Title:	SYSTEM AND METHOD FOR ANALYZING LOGFILES		

CERTIFICATE OF MAILING

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Jennifer C. Gross

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.56 AND 1.97(b) BEFORE MAILING OF A FIRST OFFICE ACTION

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Dear Sir:

The references listed in the attached PTO SB/08A&B, may be material to examination of the above-identified patent application. Applicants disclose these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. Copies of the cited references are provided to the extent required by 37 CFR §1.98 and any applicable waivers.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is being filed (1) with the above-referenced application or (2) within three months of the filing date of the above-referenced application or (3) before the mailing date of a first Office Action on the merits or (4) before the mailing date of a first Office Action after filing of a Request for Continued Examination. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0685 (Order No.RECOP008).

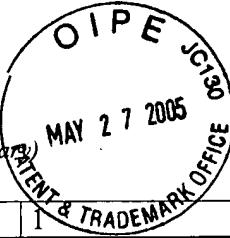
Respectfully submitted,  
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Substitute for form 1449/PTO		<b>Complete if Known</b>	
<b>Information Disclosure Statement By Applicant</b> (Use as many sheets as necessary)		Application No.:	09/841,689
		Filing Date	April 23, 2001
		First Named Inventor	SORKIN
		Art Unit	2136
		Examiner Name	Ronald Baum
		Atty Docket No.	RECOP008
Sheet	1	of	1



U.S. Patent Documents					
Examiner Initials	Cite No.	Document Number Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A.	US 6,321,338 B1	11/20/2001	Porras et al.	
	B.	US 6,484,203 B1	11/19/2002	Porras et al.	
	C.	US 6,704,874 B1	03/09/2004	Porras et al.	
	D.	US 6,708,212 B2	03/16/2004	Porras et al.	
	E.	US 6,711,615 B2	03/23/2004	Porras et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	F.	ROBERT W. BALDWIN, <i>Rule-Based Analysis of Computer Security</i> , Massachusetts Institute of Technology, June 1987.	
	G.	LAWRENCE HALME, TERESA LUNT, and J. VAN HORNE, <i>Automated Analysis of Computer System Audit Trails for Security Purposes</i> . Proceedings of the National Computer Security Conference, Washington, D.C., 1986.	
	H.	TERESA LUNT, <i>Automated Audit Trail Analysis and Intrusion Detection: A Survey</i> . Proceedings of the Eleventh National Computer Security Conference, Washington, D.C., October, 1988.	
	I.	TERESA F. LUNT, ANN TAMARU, FRED GILHAM, R. JAGANNATHAN, PETER G. NEUMANN, CAVEH JALALI, <i>IDES: A Progress Report</i> . Proceedings of the Sixth Annual Computer Security Applications Conference, Tucson, AZ, December 1990.	
	J.	DAVID R. SAFFORD, DOUGLAS LEE SCHALES and DAVID K. HESS, <i>The TAMU Security Package: An ongoing Response to Internet Intruders in an Academic Environment</i> . Proceedings of the Fourth USENIX Security Symposium, October 1993, Santa Clara, CA.	

Examiner	Date Considered
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Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered.  
 Include copy of this form with next communication to applicant.

T<sup>2</sup> = Applicant is to place a check mark here if English language Translation is attached